Monads and monoidal structures

Ross Street Macquarie University

Fourth Morgan-Phoa Mathematics Workshop, ANU Canberra

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- Our viewpoint is that small symmetric strict monoidal categories are many-sorted PROPs in the sense of Adams-Mac Lane (1965). (PRO is short for 'product' and the final P indicates the permutations).
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- In that language, the constructions we have in mind take a monoidal bicategory *M* to the 2-category Mod(T, *M*) of models of T in *M*.
- However, the twist is that, rather than Mod(T, M) itself, we are interested in the two types of lax morphisms between models.

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- A new insight was provided recently by Marek Zawadowski [The formal theory of monoidal monads, JPAA 2012].
- He raises a question about a more general context for his work. This talk gives one answer.

Monads

▶ The remarkable fact about algebraically constructing a category \mathscr{A} from a nice category \mathscr{X} is that the forgetful functor $U : \mathscr{A} \longrightarrow \mathscr{X}$ has a left adjoint $F \dashv U$ and the category \mathscr{A} can be reconstructed as the category \mathscr{X}^T of Eilenberg-Moore algebras for the monad T = UF on \mathscr{X} .

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- ▶ The Kleisli category \mathscr{X}_T is equivalent to the full subcategory of \mathscr{X}^T consisting of the free algebras TX; the adjunction $F \dashv U$ restricts to an adjunction $F_T \dashv U_T : \mathscr{X}_T \longrightarrow \mathscr{X}$ which generates the same monad $T = U_T F_T$.

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- ► The Kleisli category X_T is equivalent to the full subcategory of X^T consisting of the free algebras TX; the adjunction F ⊢ U restricts to an adjunction F_T ⊢ U_T : X_T → X which generates the same monad T = U_TF_T.
- ► From the point of view of the 2-category (or bicategory) Cat of categories, the constructions taking (𝔅, T) to 𝔅^T and 𝔅_T are dual: the first is a limit and the second a colimit.

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- ► The tensor product of abelian groups determines a monoidal structure on Set^T. This construction involves coequalizers of morphisms between free *T*-algebras. Not so easy!

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Second motivating example

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- ► We obtain a monad H ⊗ − on Mod_k from the algebra structure on H. The Eilenberg-Moore category is the category Mod^H of left H-modules.
- ► The coalgebra structure of H allows the tensor product of k-modules to be lifted to H-modules giving a monoidal structure on Mod^H. An easy construction! The right adjoint Mod^H → Mod_k preserves the monoidal structure.

Comparison of examples

► The monad T in the first example is monoidal: we have a coherent natural family of morphisms TX × TY → T(X × Y) and a compatible distinguished morphism 1 → T1. Also the unit and multiplication are monoidal: that is, they respect the monoidal structure.

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- The monad T = H ⊗ − on Mod_k in the second example is opmonoidal: we have a coherent natural family of morphisms T(M ⊗ N) → TM ⊗ TN and a compatible distinguished morphism Tk → k. Also the unit and multiplication are opmonoidal: that is, they respect the opmonoidal structure.

A monad (A, s) in a 2-category ℋ consists of an object A, a morphism s : A → A, and 2-cells η : 1_A ⇒ s and µ : ss ⇒ s making s a monoid in the strict monoidal category ℋ(A, A) whose tensor product is horizontal composition in ℋ.

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- In particular, (B, 1_B) is a monad for any object B. A monad morphism (a, α) : (B, 1) → (A, s) is called a (generalized) s-algebra. (When ℋ = Cat and B = 1, (a, α) is an Eilenberg-Moore s-algebra in the category A.)

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- ▶ Write Mndℋ for the 2-category of monads, monad morphisms, and obvious 2-cells, all in ℋ.

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The Eilenberg-Moore object A^s for a monad (A, s) in ℋ is a universal s-algebra (u, v) : (A^s, 1) → (A, s).
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▶ Put Mnd^{op} ℋ = (Mnd ℋ^{op})^{op}. Then the Kleisli objects are the values of a left adjoint to the 2-functor

$$\mathscr{K} \longrightarrow \mathrm{Mnd}^{\mathrm{op}} \mathscr{K}, \ B \mapsto (B, 1) \;.$$

Local cosimplicial objects

• Each monad (A, s) determines a coaugmented cosimplicial object

$$1_A \xrightarrow{\eta} s \xrightarrow{\stackrel{s\eta}{\xleftarrow{\mu}}} s^2 \xrightarrow{\stackrel{s\eta}{\xleftarrow{\mu}}} s^3 \dots$$

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- There is a 2-category ΣΔ with one object whose endohom category ΣΔ(•, •) is the algebraists' simplicial category Δ; horizontal composition is ordinal sum.
- ▶ Then monads (A, s) are in bijection with 2-functors

$$\Sigma \Delta \longrightarrow \mathscr{K}$$
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- A monoidale A in a monoidal 2-category *M* is an object A equipped with morphisms p : A ⊗ A → A and j : I → A like a monoid, however, strict associativity and unicity are not required, only up to invertible 2-cells α : p(p ⊗ 1_A) ⇒ p(1_A ⊗ p), λ : 1_A ⇒ p(j ⊗ 1_A) and ρ : p(1_A ⊗ j) ⇒ 1_A; these 2-cells satisfy two axioms.

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- For example, a monoidale in Cat (where tensor is cartesian product) is precisely a monoidal category.
- A monoidal morphism f : A → B between monoidales A and B is a morphism f : A → B in *M* equipped with 2-cells
 φ : p(f ⊗ f) ⇒ fp and φ₀ : j ⇒ fj satisfying three axioms. We call f strong when φ and φ₀ are invertible.

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- For example, a monoidale in Cat (where tensor is cartesian product) is precisely a monoidal category.
- A monoidal morphism $f : A \longrightarrow B$ between monoidales A and B is a morphism $f : A \longrightarrow B$ in \mathscr{M} equipped with 2-cells $\phi : p(f \otimes f) \Longrightarrow fp$ and $\phi_0 : j \Longrightarrow fj$ satisfying three axioms. We call f strong when ϕ and ϕ_0 are invertible.
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- ▶ With the obvious notion of monoidal 2-cell, we obtain a 2-category Monℳ of monoidales and monoidal morphisms in ℳ.
- ► There is also the 2-category Mon^{co} M = (MonM^{co})^{co} of monoidales and opmonoidal morphisms in M.

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Theorem

If \mathscr{M} admits the Eilenberg-Moore construction then so does $\mathrm{Mon}^{\mathrm{co}}\mathscr{M}.$

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- The constructions in each case are of the easy type.
- Marek Zawadowski [JPAA (2012)] found an underlying principle for the easy construction to work:

Theorem

If \mathcal{M} is a 2-category with finite products then there are natural isomorphisms

 $\mathrm{Mnd}^{\mathrm{op}}\mathrm{Mon}\mathscr{M}\cong\mathrm{Mon}\mathrm{Mnd}^{\mathrm{op}}\mathscr{M}$,

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\mathrm{Mnd}\mathrm{Mon}^{\mathrm{co}}\mathscr{M}\cong\mathrm{Mon}^{\mathrm{co}}\mathrm{Mnd}\mathscr{M}\ ,
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compatible with the canonical 2-functors.

2-Cat denotes the category of 2-categories and 2-functors as a cartesian closed category; the internal hom is the 2-category [𝒜, 涉] of 2-functors 𝒜 → 𝔅, 2-natural transformations, and modifications.

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- Gray_{lax} is the same category 2-Cat but now equipped with the lax Gray monoidal structure; see John Gray's book [SLNM **391** (1974)] and paper [Coherence for the tensor product of 2-categories, and braid groups (1976)].

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- ▶ For 2-categories 𝒜, 𝘕 and 𝒞, we have isomorphisms

 $2\operatorname{-Cat}(\mathscr{A},\operatorname{Fun}_{\ell}(\mathscr{B},\mathscr{C}))\cong 2\operatorname{-Cat}(\mathscr{A}\Box\mathscr{B},\mathscr{C})\cong 2\operatorname{-Cat}(\mathscr{B},\operatorname{Fun}_{r}(\mathscr{A},\mathscr{C}))\,.$

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▶ Here Fun_ℓ(𝔅, 𝔅) is the 2-category of 2-functors 𝔅 → 𝔅, oplax natural transformations, and modifications; Fun_r(𝔅, 𝔅) is the 2-category of 2-functors 𝔅 → 𝔅, lax natural transformations, and modifications; and 𝔅 □𝔅 is the lax-Gray tensor product.

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Generalized symmetries and the main commutativity

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 $\operatorname{Fun}_{\ell}(\mathscr{B},\mathscr{C}) \cong \operatorname{Fun}_{r}(\mathscr{B}^{\operatorname{co}},\mathscr{C}^{\operatorname{co}})^{\operatorname{co}} ,$ $\operatorname{Fun}_{\ell}(\mathscr{B},\mathscr{C}) \cong \operatorname{Fun}_{\ell}(\mathscr{B}^{\operatorname{op}},\mathscr{C}^{\operatorname{op}})^{\operatorname{op}} .$ Generalized symmetries and the main commutativity

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> As with any closed monoidal structure, there is an isomorphism

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 Gray_{ps} denotes the category 2-Cat with the pseudo-Gray tensor product *A* ⊠ *B*. This structure is symmetric closed monoidal. A *Gray monoid* is a monoid *M* in Gray_{ps}.

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- $Mor_r(\mathcal{M}, \mathcal{N})$ denotes the 2-category of Gray monoid morphisms $\mathcal{M} \longrightarrow \mathcal{N}$, monoidal lax natural transformations, and modifications.
- We would like these last two 2-categories to be Gray monoids so that we might restrict the main commutativity by replacing Fun by Mor throughout. Of course this is absurd since monoid morphisms between two given monoids do not form a monoid unless the codomain is commutative.

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Pointwise tensor

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- ► This suggests we look at the Gray monoid SMPs_ℓ(M, N) of strong monoidal pseudofunctors M → N, monoidal opnatural transformations, and modifications; the tensor product is pointwise.
- Similarly, let SMPs_r(ℳ, ℳ) be the Gray monoid of strong monoidal pseudofunctors ℳ → ℳ, monoidal natural transformations, and modifications; the tensor product is pointwise.

Embeddings

There are fully faithful inclusion 2-functors

$$\operatorname{Mor}_{\ell}(\mathscr{M},\mathscr{N}) \longrightarrow \operatorname{SMPs}_{\ell}(\mathscr{M},\mathscr{N})$$

and

$$\mathrm{Mor}_r(\mathcal{M},\mathcal{N})\longrightarrow \mathrm{SMPs}_r(\mathcal{M},\mathcal{N})$$

which allow us to define

$$\operatorname{Mor}_{r}(\mathscr{L}, \operatorname{Mor}_{\ell}(\mathscr{M}, \mathscr{N}))$$

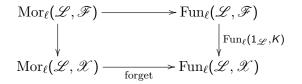
and

$$\operatorname{Mor}_{\ell}(\mathscr{M}, \operatorname{Mor}_{r}(\mathscr{L}, \mathscr{N}))$$

as follows.

The way around the problem

Suppose the 2-category \mathscr{F} is supplied with a fully faithful 2-functor K into a Gray monoid \mathscr{X} . Define the 2-categories $\operatorname{Mon}_{\ell}(\mathscr{L}, \mathscr{F})$ to be the following pullback of 2-categories.



Similarly define $Mor_r(\mathcal{L}, \mathcal{F})$ as a pullback with the ℓ subscripts replaced by r subscripts.

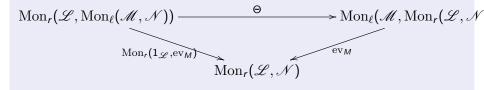
Main result

Proposition

For Gray monoids \mathscr{L} and \mathscr{M} , and a braided Gray monoid \mathscr{N} , restriction of the 'main commutativity' yields a natural isomorphism of 2-categories

 $\Theta: \mathrm{Mor}_{r}(\mathscr{L}, \mathrm{Mor}_{\ell}(\mathscr{M}, \mathscr{N})) \cong \mathrm{Mor}_{\ell}(\mathscr{M}, \mathrm{Mor}_{r}(\mathscr{L}, \mathscr{N})) \ .$

Moreover, the following triangle commutes, expressing compatibility with evaluation ev_M at any object M of \mathcal{M} .



The monad example

• Let $\mathscr{F}\Sigma\Delta$ denote the free Gray monoid on the 2-category $\Sigma\Delta$ so that there is a natural bijection between Gray monoid morphisms $\mathscr{F}\Sigma\Delta \longrightarrow \mathscr{N}$ into the Gray monoid \mathscr{N} and monads in the underlying 2-category of \mathscr{N} . Moreover, we obtain isomorphisms of 2-categories

$$\operatorname{Mor}_{r}(\mathscr{F}\Sigma\Delta, \mathscr{N}) \cong \operatorname{Fun}_{r}(\Sigma\Delta, \mathscr{N}) \cong \operatorname{Mnd}(\mathscr{N}),$$

where $Mnd(\mathcal{N})$ is the 2-category of monads and monad morphisms in the underlying 2-category of \mathcal{N} .

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We also have

$$\operatorname{Mor}_{\ell}(\mathscr{F}\Sigma\Delta^{\operatorname{op}},\mathscr{N})\cong\operatorname{Mnd}^{\operatorname{op}}(\mathscr{N}).$$

The monoidale example

Steve Lack [A coherent approach to pseudomonads (2000)] constructed a Gray monoid Δ' such that monoid morphisms Δ' → ℳ are in natural bijection with monoidales in the Gray monoid ℳ. Indeed, we have

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 As Δ' is locally an equivalence relation (that is, locally posetal and locally groupoidal) we have Δ' ^{co} = Δ'. So

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Zawadowski's commutation result is an example of our main result with *L* and *M* taken to be Δ' and *F*ΣΔ irrespectively.